

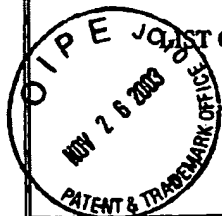
FORM PTO-1449
(REV. 7-80)U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICE

ATTY. DOCKET NO.

SERIAL NO.

COS94041C2

10/657,328

LIST OF DOCUMENTS CITED BY APPLICANT
(Use several sheets if necessary)

APPLICANT(S): Gavan et al.

FILING DATE

September 8, 2003

GROUP

Unassigned

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* EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE (IF APPROPRIATE)
<i>JE</i>	4,002,848	01/1997	Stein			
	4,154,983	05/1979	Pedersen			
	4,464,543	08/1984	Kline et al.			
	4,805,209	02/1989	Baker, Jr. et al.			
	5,048,079	09/1991	Harrington et al.			
	5,003,584	03/1991	Benyacar et al.			
	5,159,698	10/1992	Harrington et al.			
	5,233,642	08/1993	Renton			
	5,263,120	11/1993	Bickel			
	5,291,547	03/1994	Boatwright et al.			
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	5,327,144	07/1994	Stilp et al.			
	5,335,278	08/1994	Matchett et al.			
	5,345,595	09/1994	Johnson et al.			

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						YES	NO
<i>JE</i>	96/31043	10/1996	WO				

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<i>JS</i>	Curet, O., The application of case-based reasoning to assist accountant in identifying top management fraud: a study of the problem domain and the methodological issues in the development, implementation and evaluation of a case-based learning and reasoning tool, Case Based Reasoning: Prospects for Applications, IEE Colloquium on, 1995, Pages: 8/1-8/4
<i>JP</i>	Garner, B.; Chen, F., Hypothesis generation paradigm for fraud detection, TENCON '94. IEEE Region 10's Ninth Annual International Conference. Theme: Frontiers of Computer Technology Proceedings of 1994, 22-26 Aug. 1994, Pages 197-201 vol. 1
	Fawett, T., "Adaptive Fraud Detection", Journal of Data Mining and Knowledge Discovery, Copyright 1997, Kluwer Academic Publishers, Norwell, MA, Vol. 1 1997, pp. 291-316

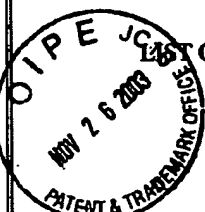
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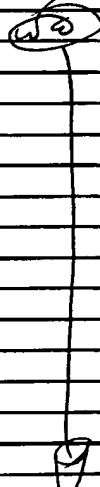
Robert L. Smith, Jr.

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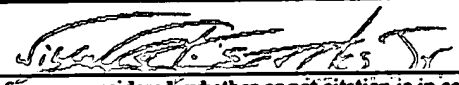
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	5,375,244	12/1994	McNair				
	5,418,844	05/1995	Morrissey et al.				
	5,432,845	07/1995	Burd et al.				
	5,463,681	10/1995	Vaios et al.				
	5,475,746	12/1995	Miller et al.				
	5,495,521	02/1996	Rangachar				
	5,504,810	04/1996	McNair				
	5,506,893	04/1996	Buscher et al.				
	5,515,425	05/1996	Penzias et al.				
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	5,517,555	05/1996	Amadon et al.				
	5,574,782	11/1996	Baird et al.				
	5,586,175	12/1996	Hogan et al.				
	5,592,530	01/1997	Brockman et al.				
	5,596,632	01/1997	Curtis et al.				
5,602,906	02/1997	Phelps					

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DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION		
					YES	NO	

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	Scarfe, R.T.; Shortland, R.J., Data Mining Applications in BT, Knowledge Discovery in Databases, [IEE Colloquium on], 1995, Pages 5/1-5/4 Sternberg, M.; Reynolds, R.G., Using cultural algorithms to support re-engineering of rule-based expert systems in dynamic performance environments: a case study in fraud detection, Evolutionary Computation, IEEE Transactions on, Volume: 14, Nov. 1997, Pages: 225-243 Watkins, P.R.; Lin, T.W.; O'Leary, D.E., AI integration for enhanced decision support, Systems Sciences, 1992. Proceedings of the Twenty-Fifth Hawaii International Conference on, Volume: III, 7-10 Jan. 1992, Pages(s): 133-144 vol. 3 META Group, Inc. "Advanced Information Management", August 29, 1994. Calem, Robert E., "To Catch A Thief" Forbes ASAP, pp. 44-45, date unknown
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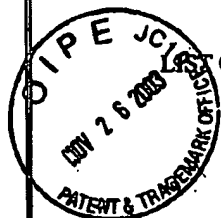
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J2	5,606,600	02/1997	Elliot et al.			
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	5,640,446	06/1997	Everett et al.			
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	5,805,686	09/1998	Moller et al.			
	5,854,834	12/1998	Gottlieb et al.			
	5,875,236	02/1999	Jankowitz et al.			
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